

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P99,1160

First Named Inventor or Application Identifier

Dharam Pal Gosain et al,

Express Mail Label No:

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1c588 U.S. PTO  
09/362055

07/28/99

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 34 ]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 7 ]  
3. ☒ Declaration and Power of Attorney [Total Pages 2 ]

- a. ☐ Newly executed declaration (Original copy)  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

- i. ☐ [Note Box 4 Below]  
**DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☒ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations  
9. ☒ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity Statement filed in prior application,  
Statement(s) Status still proper and desired  
12. ☒ Certified Copy of Priority Document(s) Japanese  
Application No. P10-220299 filed August 4, 1998  
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: 1

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$760.00
TOTAL CLAIMS	20	11			
INDEPENDENT CLAIMS	3	1			
	ANY MULTIPLE DEPENDENT CLAIMS? ( ) YES (X) NO				
	TOTAL FILING FEE ->				\$760.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 760.00 to cover the filing fee is enclosed.

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U-11

DATE: July 27, 1999

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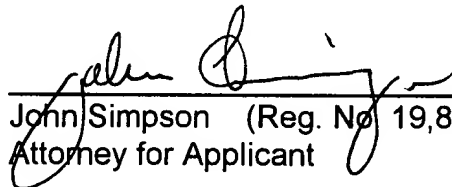
Re: Proposed Patent Application for DHARAM PAL GOSAIN and SETSUO  
USUI entitled SEMICONDUCTOR DEVICE MANUFACTURING  
METHOD, Attorney Docket No. P99,1160

SIR:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 11 claims, 7 sheets of informal drawings and filing fee on behalf of DHARAM PAL GOSAIN and SETSUO USUI and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(d).

Respectfully submitted,

  
\_\_\_\_\_  
John Simpson (Reg. No. 19,842)  
Attorney for Applicant

663220-55033550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PRELIMINARY AMENDMENT ACCOMPANYING APPLICATION

APPLICANT: DHARAM PAL GOSAIN ET AL

ATTORNEY DOCKET NO.  
P99,1160

SERIAL NO.:

DATE FILED: (filed concurrently herewith)

INVENTION: SEMICONDUCTOR DEVICE MANUFACTURING METHOD

Assistant Commissioner of Patents and Trademarks  
Washington DC 20231

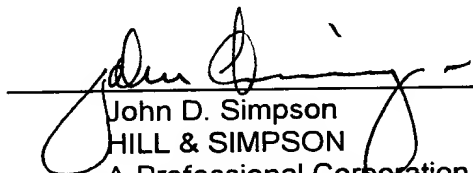
S I R:

Between the title and the heading "Background of the Invention" on page 1,  
insert the following:

--RELATED APPLICATION DATA

The present application claims priority to Japanese Application No. P10-220299  
filed August 4, 1998, which application is incorporated herein by reference to the extent  
permitted by law.--

Respectfully submitted,

  
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09/362055



07/28/99

#4

Priorit  
Paper  
10/12/99  
A. Jenkins